

## **Greater Birmingham Humane Society gift acceptance procedure for donated securities**

GBHS accepts gifts of publicly traded as well as closely held securities including stocks, bonds, and mutual funds. This type of gift may be transferred electronically or delivered in certificate form. It is GBHS' policy that all publicly traded securities be sold upon receipt.

### **Electronic Transfer**

GBHS' brokerage account resides at Regions Investment Solutions and all gifts of securities should be directed there. Securities should be transferred to the following:

Regions

DTC (Clearing) Number: 0701

Account name: Greater Birmingham Humane Society

Account number: 3RN05484

Account registration: Noncorporate or Nonprofit

Clearing firm: Cetera, 400 1<sup>st</sup> Street South, Suite 300, St. Cloud, MN 56301

Attention: Brook Acton

### **Please give receiving firm information permission**

**\*\*PLEASE ENSURE YOUR BROKER SENDS THE SECURITIES TRANSFER NOTIFICATION FORM ON PAGE 2 TO [DEVELOPMENT@GBHS.ORG](mailto:DEVELOPMENT@GBHS.ORG) \*\***

### **Physical Delivery**

Securities with properly completed endorsement(s) or stock power(s) should be delivered to GBHS at 300 Snow Drive. Contact Clay Shannon at [development@gbhs.org](mailto:development@gbhs.org) or 205.397.8537 with questions.

## GBHS Securities/Closely Held Stock Transfer Notification Form

To be filled out by broker or donor and emailed to [development@gbhs.org](mailto:development@gbhs.org)

Name of donor \_\_\_\_\_

Street Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

Date of transfer to GBHS \_\_\_\_\_

Initiating Broker \_\_\_\_\_

Broker Contact Name \_\_\_\_\_ Broker email \_\_\_\_\_

**If publicly traded, please provide the name of the company, its ticker symbol and number of shares transferred.**

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**If closely held securities, please provide the name of the company, any restrictions on the security, the fair market value of the security and the basis for this valuation.**

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**Additional notes:**